



2-14-05

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Date of Deposit: February 11, 2005

File No. 3614/204

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Alan Y. Chow et al.

Appln. No.: 10/822,437

Filed: April 12, 2004

For: MECHANICALLY ACTIVATED  
OBJECTS FOR TREATMENT  
OF REGENERATIVE RETINAL  
DISEASE

Examiner: Unknown

Art Unit: 3762

Attorney Docket No: 3614/204

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

**U.S. PATENTS**

<u>Patent No.</u>	<u>Date</u>	<u>Inventor</u>
2,760,483	08/28/1956	Tassicker
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3,628,193	12/21/1971	Collins
3,766,311	10/16/1973	Boll
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3,914,800	10/28/1975	Collins
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4,211,474	07/08/1980	Le Goff
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4,272,910	06/16/1981	Danz
4,551,149	11/05/1985	Sciarra
4,600,004	07/15/1986	Lopez et al.
4,601,545	07/22/1986	Kern
4,628,933	12/16/1986	Michelson
4,679,572	07/14/1987	Baker, Jr.
4,750,498	06/14/1988	Graham
4,810,050	03/07/1989	Hooper

<u>Patent No.</u>	<u>Date</u>	<u>Inventor</u>
4,832,202	05/23/1989	Newman et al.
4,873,448	10/10/1989	Shirai
4,978,842	12/18/1990	Hinton et al.
5,016,633	05/21/1991	Chow
5,024,223	06/18/1991	Chow
5,109,844	05/05/1992	de Juan Jr. et al.
5,130,528	07/14/1992	Phillips, Jr.
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5,159,927	11/03/1992	Schmid
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5,256,882	10/26/1993	Miyasaka
5,338,991	08/16/1994	Lu
5,351,309	09/27/1994	Lee et al.
5,397,350	03/14/1995	Chow et al.
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5,935,155	08/10/1999	Humayun et al.
5,944,747	08/31/1999	Greenberg et al.
6,032,062	02/29/2000	Nisch
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**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
DE 195 29 371 C2	02/13/97	Germany
GB 2 229 543 A	09/26/90	Great Britain

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0 084 621 A2	11/23/82	EPO
EP 0 233 789	08/26/87	EPO
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Applicant is enclosing Form PTO-1449 (six sheets). This application claims priority under 35 U.S.C. §120 to U.S. Application Serial Nos. 10/186,295 filed June 28, 2002 and 10/056,793 filed January 23, 2002. Because copies of the cited references were previously provided in those applications, copies have been omitted herein pursuant to 37 C.F.R. §1.98(d).

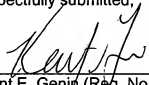
Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

2/11/2005  
Date

Respectfully submitted,

  
Kent E. Genin (Reg. No. 37,834)



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& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: A. Chow et al.

Appln. No.: 10/822,437

Filed: April 12, 2004

For: Mechanically Activated Objects For  
Treatment Of Degenerative Retinal Disease

Examiner: Unknown

Art Unit: 3762

Attorney Docket No: 3614/204

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

☒ Transmittal Letter (in duplicate); Information Disclosure Statement; PTO Form 1449

☒ Return Receipt Postcard

Fee calculation:

☒ No additional fee is required.

☐ Small Entity.

☐ An extension fee in an amount of \$       for a       -month extension of time under 37 C.F.R. § 1.136(a).

☐ A petition or processing fee in an amount of \$       under 37 C.F.R. § 1.17(\_\_\_\_).

☐ An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment	Minus	Highest No. Previously Paid For	Present Extra	Small Entity		or	Not a Small Entity	
					Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 44=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$150=			+\$300=	
Total					\$			Total	\$

Fee payment:

☐ A check in the amount of \$       is enclosed.

☐ Please charge Deposit Account No. 23-1925 in the amount of \$      . A copy of this Transmittal is enclosed for this purpose.

☐ Payment by credit card in the amount of \$       (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

2/11/2005  
Date

Kent E. Genin  
Kent E. Genin (Reg. No. 37,834)



FORM PTO-1449	SERIAL NO. 10/822,437	CASE NO. 3614/204
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE April 12, 2004	GROUP ART UNIT 3762
(use several sheets if necessary)		APPLICANT(S): A. Chow et al.

**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	2,760,483	08/28/1956	Tassicker		
	A2	3,594,823	07/27/1971	Collins		
	A3	3,628,193	12/21/1971	Collins		
	A4	3,766,311	10/16/1973	Boll		
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	A6	3,914,800	10/28/1975	Collins		
	A7	4,001,867	01/04/1977	Kravitz et al.		
	A8	4,211,474	07/08/1980	Le Goff		
	A9	4,251,887	02/24/1981	Anis		
	A10	4,272,910	06/16/1981	Danz		
	A11	4,551,149	11/05/1985	Sciarra		
	A12	4,600,004	07/15/1986	Lopez et al.		
	A13	4,601,545	07/22/1986	Kern		
	A14	4,628,933	12/16/1986	Michelson		
	A15	4,679,572	07/14/1987	Baker, Jr.		
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	A18	4,832,202	05/23/1989	Newman et al.		
	A19	4,873,448	10/10/1989	Shirai		
	A20	4,978,842	12/18/1990	Hinton et al.		
	A21	5,016,633	05/21/1991	Chow		
	A22	5,024,223	06/18/1991	Chow		
	A23	5,109,844	05/05/1992	de Juan Jr. et al.		
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	A25	5,130,776	07/14/1992	Popovic et al.		
	A26	5,159,927	11/03/1992	Schmid		
	A27	5,223,728	06/29/1993	Gempe		
	A28	5,256,882	10/26/1993	Miyasaka		
	A29	5,338,991	08/16/1994	Lu		
	A30	5,351,309	09/27/1994	Lee et al.		
	A31	5,397,350	03/14/1995	Chow et al.		
	A32	5,411,540	05/02/1995	Edell et al.		
	A33	5,476,494	12/19/1995	Edell et al.		
	A34	5,491,349	02/13/1996	Komoto et al.		
	A35	5,556,423	09/17/1996	Chow et al.		
	A36	5,648,655	07/15/1997	Rostoker		
	A37	5,717,201	02/10/1998	Lin et al.		
	A38	5,837,995	11/17/1998	Chow et al.		
EXAMINER	/Michael Brown/		DATE CONSIDERED	06/08/2008		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	SERIAL NO. 10/822,437	CASE NO. 3614/204
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## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

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	A39	5,865,839	02/02/1999	Doorish	
	A40	5,895,414	04/20/1999	Sanchez-Zambrano	
	A41	5,895,415	04/20/1999	Chow et al.	
	A42	5,935,155	08/10/1999	Humayun et al.	
	A43	5,944,747	08/31/1999	Greenberg et al.	
	A44	6,032,062	02/29/2000	Nisch	
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